

75231 +/

	Application Number 09/648,211	
	Filing Date August 25, 2000	
TRANSMITTAL FORM	First Named Inventor John S. Flowers	
(to be used for all correspondence during pendency of filed application)	Group Art Unit Number 2131	
	Examiner Name Aravind K. Moorthy	
Total Number of Pages in This Submission 5*	Attorney Docket Number 23327-06895	
ENCLOSURES	(check all that apply)	=
Fee Transmittal Form (in duplicate) Check Enclosed Return Receipt Postcard Response to Notice to File Missing Parts Assignment & Recordation Cover Sheet Declaration Power of Attorney Application Data Sheet Supplemental Information Disclosure Statement & PTO/SB/08A Copies of IDS Cited References Request for Corrected Filing Receipt Request for Correction of Recorded Assignment Amendment/Response: [] Page(s) After Final Status Request Revocation and Substitute Power of Attorney	Issue Fee Transmittal Letter to Chief Draftsperson Formal Drawing(s): [] Sheet(s) of Figure(s) [] Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
\$IGNATURE OF	ATTORNEY OR AGENT	=
Signature:		
Attorney/Reg. No.: Hector U. Ribera, Reg. No. 54,39	97 Dated: 5 6 2005	
I hereby certify that this correspondence, including the enclosures first class mail in an envelope addressed to: Commissioner for Pa	CATE OF MAILING as identified above, is being deposited with the United States Postal Service a ratents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below rrespondence is being deposited with the United States Postal Service CFR 1.10.	s ·
Typed or Printed Name: Hector J. Ribera Express Mail Mailing Number (ontional):	Dated: 5/6/2005	

FEE TRANSMITTAL		
FEET TO A NOBILITAL		Complete if Known
L FEEIRANSWIIIAL	Application Number	09/648,211
OF TRADEMAN	Filing Date .	August 25, 2000
for FY 2005	First Named Inventor	John S. Flowers
Patent fees are subject to annual revision.	Examiner Name	Aravind K. Moorthy
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	2131
TOTAL AMOUNT OF PAYMENT (\$) 180.00	Attorney Docket No.	23327-06895

METHOD OF PAYME	FEE CALCULATION (continued)						
□ Check □ Credit Card □ □ Deposit Account:	Money Order Other None	3. AE	DITION	AL FEE	S		
Deposit Account Number	19-2555	Large	Entity	Small	Entity	Fee Description	Fee Paid
Deposit Account Name	Fenwick & West LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
_	prized to: (check all that apply) below Credit any overpayments	1051 1052	130 50	2051 2052	65 25	Surcharge - late filing fee or oath or declaration Surcharge - late provisional filing fee or cover sheet	
	s) or any underpayment of fee(s) due	1053	130	1053	130	Non-English specification	
application		1812	2,520		2,520	For filing a request for ex parte reexamination .	
Charge fee(s) indicated the above-identified depo	below, except for the filing fee to	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	— —
	LCULATION	1251	120	2251	60	Extension for reply within first month	
1. BASIC FILING FEE		1252 1253	450 1020	2252 2253	225 510	Extension for reply within second month	
Large Entity Small Entity Fee Fee Fee	Fee Description Fee Paid	1253	1.590	2253	795	Extension for reply within third month Extension for reply within fourth month	
Code (\$) Code (\$)	ree Description Fee Faid	1255	2,160	2255	1.080	Extension for reply within fifth month	
Code (#)		1401	500	2401	250	Notice of Appeal	
		1402	500	2402	250	Filing a brief in support of an appeal	
		1403	1000	2403	500	Request for oral hearing	
		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
		1452	500	2452	250	Petition to revive - unavoidable	
SUBTOT	AL (1) (\$) 0	1453	1,500	2453	750	Petition to revive - unintentional	
2. EXTRA CLAIM FEES	FOR UTILITY AND REISSUE	1501	1,400	2501	700	Utility issue fee (or reissue)	
Extra	a Claims Fee from Fee Paid	1502	800	2502	400	Design issue fee	
Total Claims -20**=	x =	1503	1100	2503	550	Plant issue fee	
Claims -3** =	X =	1460		1460	—	Petitions to the Director	
Multiple Dependent	<u> </u>	1807	50	1807	50	Processing fee for Provisional Applications	
Large Entity Small Entity		1806	180	1806	180	Submission of Information Disclosure Stmt	180
Code (\$) Code (\$)	Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
	Claims in excess of 20	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
	Independent claims in excess of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
	Multiple dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)	
1204 200 2204 100	**Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 50 2205 25	**Reissue claims in excess of 20 and over original patent	Other fo	ee (specify	y)			
SUBTOTAL (2) (\$) 0 SUBTOTAL (3) (\$) 180.0						00	
or number previously paid, if g	reater, For Reissues, see above	•Reduce	ed by Basic	Filing F	ee Paid		

SUBMITTED BY					Complete (if applicable)	
Name (Print/Type)	Hector J. Ribera	Registration No. (Attorney/Agent)	54,397		Telephone (650) 335-7192	
Signature	Whilster			Date	5/6/2005	
						_

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE John S. Flowers et al. APPLICATION NO.: 09/648,211 FILING DATE: August 25, 2000 TITLE: Method And System For Detecting A Vulnerability In A Network **EXAMINER:** Aravind K. Moorthy **GROUP ART UNIT:** 2131 ATTY. DKT. NO.: 23327-06895 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an the date shown below:

envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1460, Alexandria, VA 22313-1450, on

Hector J. Ribera, Reg. No.: 54,397

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 **ALEXANDRIA, VA 22313-1450**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

within three months of the filing date of the application, or date of entry into
the national stage of an international application, or before the mailing date of
a first office action on the merits, whichever event last occurred;
before the mailing of a first official action after the filing of a request for
continued examination (RCE) under 37 CFR § 1.114;

05/11/2005 CCHAU1

0000006 09648211

01 FC:1806

180.00 OP

\boxtimes	after three months of the filing date of this national application or the date of										
	entry	entry of the national stage in an international application, or after the mailing									
	date of the first official action on the merits, whichever event last occurred, but										
	before	e the ma	ailing date of the first to occur of either: (1) a final action under								
	37 CF	37 CFR §1.113; or (2) an action that otherwise closes prosecution in the									
	application, and:										
	\boxtimes	attached hereto is the fee set forth under 37 CFR §1.17(p) for									
		subn	nission of this Information Disclosure Statement under 37 CFR.§								
		1.97	1.97(c); OR								
		Áppl	licant certifies pursuant to 37 CFR § 1.97(e) that:								
			each item of information contained in this Information								
			Disclosure Statement was first cited in a communication from								
			a foreign patent office in a counterpart foreign application not								
			more than three months prior to the filing of this Statement;								
			OR								
			no item of information contained in this Information								
			Disclosure Statement was cited in a communication from a								
			foreign patent office in a counterpart foreign application and,								
			to the knowledge of the person signing this certification after								
			making reasonable inquiry, no item of information contained								
			in this Statement was known to any individual designated								
٠			under 37 CFR § 1.56(c) more than three months prior to the								
			filing of this Statement;								
	on or	before	the payment of the issue fee but after the mailing date of the first								
	to oc	to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of									
	allowance under 37 CFR § 1.311; or (3) an action that otherwise closes										
	prosecution in the application, and:										
		Appl	icant certifies pursuant to 37 CFR. § 1.97(e) that:								
			each item of information contained in this Information								
			Disclosure Statement was cited in a communication from a								
			foreign patent office in a counterpart foreign application not								
			more than three months prior to the filing of this Statement;								
			no item of information contained in this Information								
			Disclosure Statement was cited in a communication from a								
			foreign patent office in a counterpart foreign application and,								
			to the knowledge of the person signing this certification after								
			making reasonable inquiry, no item of information contained								

		•	•	in this	s Statement was known to any individual designated			
		•		under	37 CFR § 1.56(c) more than three months prior to the			
				filing	of this Statement; AND			
				attached here	to is the fee set forth under 37 CFR §1.17(p) for			
					f this Information Disclosure Statement under 37 CFR.§			
				1.97(d); OR				
		П	after ti	• • •	the issue fee. Applicant requests that the information			
		_		• •	ormation Disclosure Statement be placed in the file			
					§ 1.97(i), although the information may not be			
				lered by the US	-			
		Englos		•	sted reference that may be material to the examination of			
L					-			
					ch there may be a duty to disclose.			
					r 35 U.S.C. § 120, on the earlier filing date of prior			
					ed on, and the references cited therein are hereby			
				it are not requir	red to be provided in this application under 37 CFR §			
		1.98(d)						
		_	-		ter June 30, 2003. Therefore, pursuant to the waiver of			
		the req	uireme	nts under 37 C	FR 1.98(a)(2)(i), copies of each U.S. Patent and each			
		U.S. Pa	atent A	pplication Publ	lication are not required to be submitted. Copies of any			
		foreign	n patent	documents and	d non-patent literature cited herein are enclosed.			
		Each item of information contained in this Information Disclosure Statement was						
		cited in a communication from a foreign patent office in a counterpart application,						
		and the communication was not received by any individual designated in 37 CFR §						
		1.56(c)	more t	han thirty days	prior to the filing of this Information Disclosure			
		Statem	ent. 37	7 CFR § 1.704((d).			
		Applic	ant sub	mits that no fee	e is required for the consideration of this Information			
		Disclos	sure Sta	atement.				
	Consid	leration	of the l	isted reference	s and favorable action are solicited.			
					Respectfully submitted,			
					JOHN S. FLOWERS ET AL.			
		, ,						
Dated:	۷	5/6/	2003	<u>-</u>	By: Malse			
		- • ;			Hector J. Ribera, Reg. No.: 54,397			
					Fenwick & West LLP			
					Silicon Valley Center			
					801 California Street Mountain View, CA 94041			
					Tel.: (650) 335-7192			
					Fax.: (650) 938-5200			

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO	Complete if Known			
INFORMATIONOSISCLOSURE	Application No.	09/648,211		
STATEMENT BY APPLICANT	Filing Date	August 25, 2000		
	First Named Inventor	John S. Flowers		
/ MAN / EE	Art Unit	2131		
THE REPORT OF THE PARTY OF THE	Examiner Name	Aravind K. Moorthy		
Sheet PADEMP of 1	Attorney Docket Number	23327-06895		

			U.S. PAT	ENT DOCUMENTS
	1	Document No.		
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-6,826,172	11-30-2004	Augart ·
	A2	US-6,816,973	11-09-2004	Gleichauf et al.
	A3	US-6,778,524	08-17-2004	Augart ·
	A4	US-6,718,535	04-06-2004	Underwood ·
	A5	US-6,704,873	03-09-2004	Underwood ·
	A6	US-6,611,869	08-26-2003	Eschelbeck et al.'
	A7	US-6,609,205	08-19-2003	Bernhard et al.
	A8	US-6,477,651	11-05-2002	Teal
	A9	US-6,199,181	03-06-2001	Rechef et al.
	1.	US-		
		US-		
-		US-		·
		US-		

FOREIGN PATENT DOCUMENTS							
Foreign Patent Document							
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		T ⁶	
•							

Examiner		Date		
			l i	
Signature		Considered		
•				
	l .		l :	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.